

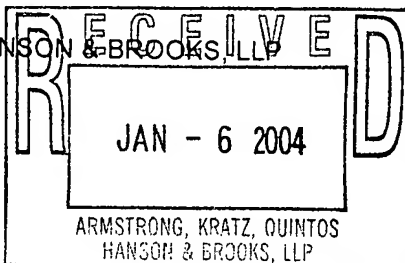
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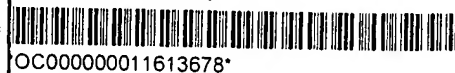
APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/677,216	10/03/2003	2171	1186	031732	9	24	7/11

23850

ARMSTRONG, KRATZ, QUINTOS, HANSON & BROOKS, LLP
1725 K STREET, NW
SUITE 1000
WASHINGTON, DC 20006



FILING RECEIPT



OC000000011613678*

CONFIRMATION NO. 8587

Date Mailed: 01/05/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Takayuki Uchida, Kawagoe-shi, JAPAN;

Assignment For Published Patent Application

PIONEER CORPORATION, Tokyo, JAPAN;

Domestic Priority data as claimed by applicant

Foreign Applications

JAPAN 2002-291842 10/04/2002

If Required, Foreign Filing License Granted: 01/03/2004

Projected Publication Date: 04/15/2004

Non-Publication Request: No

Early Publication Request: No

Title

Recording medium storing hierarchical information, information retrieving device, information
retrieving system, information retrieving method, information retrieving computer program, and

KETFD

1/7/04

recording medium storing such computer program

Preliminary Class

707

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **UCHIDA, Takayuki**

Attention: **Applications Division**

Serial Number: **10/677,216**

Group Art Unit: **2171**

Filed: **October 3, 2003**

P.T.O. Confirmation No.: 8587

**FOR: RECORDING MEDIUM STORING HIERARCHICAL INFORMATION,
INFORMATION RETRIEVING DEVICE, INFORMATION RETRIEVING
SYSTEM, INFORMATION RETRIEVING METHOD, INFORMATION
RETRIEVING COMPUTER PROGRAM, AND RECORDING MEDIUM
STORING SUCH COMPUTER PROGRAM**

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Date: January 20, 2004

Sir:

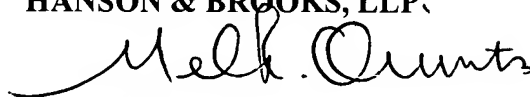
Please supply the undersigned attorney with a corrected filing receipt for the above-identified application. The undersigned also respectfully requests that the Patent and Trademark Office records be amended to reflect the correction.

In reviewing the official Filing Receipt, we noted an error in that the **number of IND CLMS is incorrect**. A copy of the **Utility Patent Application Transmittal** is enclosed which indicates the correct information. We are enclosing a copy of the filing receipt with the corrections highlighted.

In the event that any fees are required in connection with this paper, please charge our
Deposit Account No. 01-2340.

Respectfully submitted,

**ARMSTRONG, KRATZ, QUINTOS,
HANSON & BROOKS, LLP.**



Mel R. Quintos
Attorney for Applicant
Reg. No. 31,898

MRQ/alw
Atty. Docket No. **031732**
Suite 1000
1725 K Street, N.W.
Washington, D.C. 20006
(202) 659-2930



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PATENT TRADEMARK OFFICE

Enclosures: Official Filing Receipt; and Utility Patent Application Transmittal

Q:\FLOATERS\ALW\031732 Req CFR



**UTILITY PATENT
APPLICATION TRANSMITTAL**

(Only for new non-provisional applications
under 37 CFR 1.53(b))

Attorney Docket No.

031732

Total Pages

First Named Inventor or Application Identifier

Takayuki UCHIDA

Express Mail Label No.

Check Box, if applicable [] Duplicate

APPLICATION ELEMENTS FOR: **RECORDING
MEDIUM STORING HIERARCHICAL INFORMATION,
INFORMATION RETRIEVING DEVICE,
INFORMATION RETRIEVING SYSTEM,
INFORMATION RETRIEVING METHOD,
INFORMATION RETRIEVING COMPUTER PROGRAM,
AND RECORDING MEDIUM STORING SUCH
COMPUTER PROGRAM**

ADDRESS TO: Commissioner for Patents
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P.O. Box 1450
Alexandria, VA. 22313-1450

1. ☒ Fee Transmittal Form (Incorporated within this form)
(Submit an original and a duplicate for fee processing)

2. ☒ Specification Total Pages **[33]**

3. ☒ Drawing(s) (35 USC 113) Total Sheets **[9]**

4. ☒ Oath or Declaration Total Pages **[3]**

a. ☒ Newly executed (original)

b. ☐ Copy from prior application (37 CFR 1.63(d))

(for continuation/divisional with Box 17 completed).

i. ☐ Deletion of Inventor(s)

Signed statement attached deleting inventor(s) named in prior application,
see 37 CFR 1.63(d)(2) and 1.33(b)

5. ☐ Incorporation by reference (useable if box 4b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is
supplied under Box 4b, is considered as being part of the disclosure of the accompanying
application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)

7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

a. ☐ Computer Readable Copy

b. ☐ Paper Copy (identical to computer copy)

c. ☐ Statement Verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet and document(s)) to: PIONEER CORPORATION, Tokyo, Japan.
Please publish the assignee data with the application.

9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney

UTILITY PATENT
APPLICATION TRANSMITTAL

(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Attorney Docket No.

031732

First Named Inventor or Application Identifier

Takayuki UCHIDA

PAGE 2 OF 3

10. ☐ English translation Document (if applicable)
11. ☐ Information Disclosure Statement ☐ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
14. ☐ Small Entity Status is claimed.
15. ☒ Claim for Convention Priority ☒ Certified copy of Priority Document is enclosed
a. Priority of Japanese application no. 2002-291842 filed on October 4, 2002 is claimed under 35 USC 119.
16. ☐ Other _____
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior application no. _____

FEE TRANSMITTAL The filing fee is calculated below	Number Filed	Number Extra	Rate	Basic Fee \$ 770.00
Total Claims	24 - 20	4	x \$18.00	\$ 72.00
Independent Claims	11 - 3	8	x \$86.00	\$ 688.00
Multiple Dependent Claims			\$280.00	
Filing Fee				\$1530.00
Reduction by 1/2 for small entity				
Fee for recording enclosed Assignment			\$40.00	\$ 40.00
TOTAL				\$1570.00

UTILITY PATENT
APPLICATION TRANSMITTAL

(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Attorney Docket No.

031732

First Named Inventor or Application Identifier

Takayuki UCHIDA

PAGE 3 OF 3

[XX] A check in the amount of \$1570.00 is enclosed to cover the filing fee of \$770.00, the fee of \$72.00 for extra claims, the fee of \$688.00 for extra independent claims and the assignment recordation fee of \$40.00.

[] Please charge our Deposit Account No. 01-2340 in the total amount of _____ to cover the filing fee and the _____ assignment recordation fee. A duplicate of this sheet is attached.

[XX] The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. 01-2340.

18. CORRESPONDENCE ADDRESS:

Armstrong, Kratz, Quintos,
Hanson & Brooks, LLP
Suite 1000, 1725 K Street, N.W.
Washington, D. C. 20006
Tel: (202) 659-2930
Fax: (202) 887-0357



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PATENT TRADEMARK OFFICE

Typed or Printed Name **MeL.R. Quintos** Reg. No. **31,898**

Signature *MeL.R. Quintos* Date: **October 3, 2003**